

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Shawn DeFreees, et. al.

Application No./Patent No.: 10/576,506

Filed/Issue Date: April 18, 2006

Corresponding to PCT Application No. PCT/US04/41070 filed on December 3, 2004

Entitled: GLYCOPEGYLATED FACTOR IX

Neose Technologies, Inc.

a

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From:

To :

The document was recorded in the United States Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

2. From:

To :

The document was recorded in the United States Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

3. From:

To :

The document was recorded in the United States Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

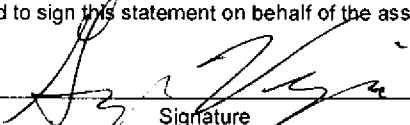
☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

July 15, 2008

Date


Signature

George J. Vergis, Ph.D.

Typed or printed name

President and Chief Executive Officer

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Shawn DeFrees, 126 Filly Drive, North Wales, PA 19454, Robert J. Bayer, 6105 Dirac Street, San Diego, California 92122, Caryn Bowe, 276 Cherry Lane, Doylestown, Pennsylvania 18901, and Krishnasamy Panneerselvam, 14917 Morningside Drive, Poway, California 92064 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified patent application:

Title of Invention: GLYCOPEGYLATED FACTOR IX
Filing Date: April 18, 2006
Application No.: 10/576,506 ; and

WHEREAS, Neose Technologies, Inc., located at 102 Witmer Road, Horsham, PA 19044, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

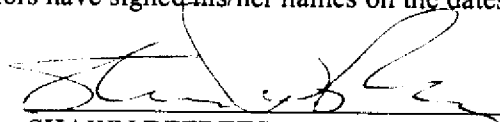
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that Assignors will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Morgan, Lewis & Bockius LLP, One Market, Spear Street Tower, San Francisco, CA 94105, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed his/her names on the dates indicated.

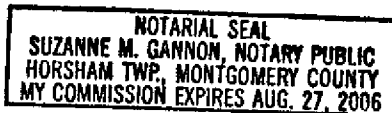
Dated: 4/21/2006

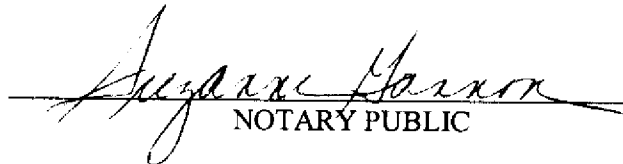

SHAWN DEFREES

STATE OF PENNSYLVANIA)
) ss.
COUNTY OF MONTGOMERY)

On 4/21/06, before me, Suzanne Gannon personally appeared Shawn DeFrees, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.




NOTARY PUBLIC

My Commission Expires: _____

Dated: _____

ROBERT J. BAYER

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On _____, before me, _____ personally appeared Robert J. Bayer, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

IN TESTIMONY WHEREOF, Assignors have signed his/her names on the dates indicated.

Dated: _____

SHAWN DEFREES

STATE OF PENNSYLVANIA)
) ss.
COUNTY OF MONTGOMERY)

On _____, before me, _____ personally appeared Shawn DeFrees, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

Dated: April 26 2006

Robert J. Bayer
ROBERT J. BAYER

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On _____, before me, _____ personally appeared Robert J. Bayer, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

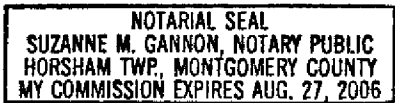
Dated: April 20, 2006

Caryn Jay Bowe
CARYN BOWE

STATE OF PENNSYLVANIA)
COUNTY OF Montgomery) ss.

On 4/20/06, before me, SUZANNE GANNON personally appeared Caryn Bowe, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Suzanne Gannon
NOTARY PUBLIC

My Commission Expires: _____

Dated: _____

KRISHNASAMY PANNEERSELVAM

STATE OF CALIFORNIA)
COUNTY OF) ss.

On _____, before me, _____ personally appeared Krishna Panneerselvam, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

Dated: _____

CARYN BOWE

STATE OF PENNSYLVANIA)
) ss.
COUNTY OF)

On _____, before me, _____ personally appeared Caryn Bowe, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

Dated: 05/05/06



KRISHNASAMY PANNEERSELVAM

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On _____, before me, _____ personally appeared Krishna Panneerselvam, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____